



SHEET 1 OF 1

FIRST SUPPLEMENTAL FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-068

APPLICANTS: Pope et al.

SERIAL NO.: 09/880,268

FILING DATE: June 13, 2001

GROUP: 2131

RECEIVED

JAN 17 2003

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
~	A30	6,058,480	05/02/00	Brown	713	201	1/8/98

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
~	B5	0 575 765 A1	12/29/93	EP	G06F	12/02	5/25/93	N	Y
2	B6	00/72506	11/30/00	WO	H04L	9/32	5/22/00	N	Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
~	C17	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US02/18295, mailed on November 20, 2002, 3 pages.							

EXAMINER

DATE CONSIDERED

2/1/04



#15

SHEET 1 OF 1

SECOND SUPPLEMENTAL FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-068

APPLICANTS: Pope et al.

APPLICATION NO.: 09/880,268

FILING DATE: June 13, 2001

ART UNIT: 2131

RECEIVED

FEB 06 2004

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
~	A31	6,199,113	03/6/01	Alegre et al.	709	229	4/15/98

UNITED STATES PATENT APPLICATION PUBLICATION

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
~	A32	2002/0029340	03/7/02	Pensak et al.	713	182	11/1/01

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

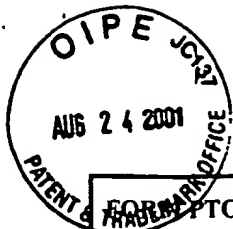
OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
~	C18	IPEA/US, International Preliminary Examination Report, PCT Application No. PCT/US02/18295, mailed on December 15, 2003, 4 pages.							

EXAMINER

DATE CONSIDERED 2/27/04

2732364



FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: CTX-068 (1545/117)

APPLICANT(S): Pope et al.

SERIAL NO.: 09/880,268

FILING DATE: June 13, 2001

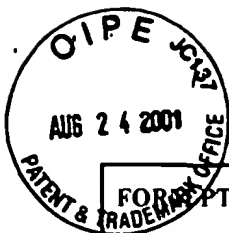
RECEIVED

AUG 28 2001

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
rb	A1	4,924,378	05/08/90	Hershey et al.	364	200	06/13/88
	A2	5,204,897	04/20/93	Wyman	380	4	07/14/92
	A3	5,359,721	10/25/94	Kempf et al.	395	425	12/18/91
	A4	5,390,297	02/14/95	Barber et al.	395	200	11/10/87
	A5	5,412,717	05/02/95	Fischer	380	4	05/15/92
	A6	5,455,953	10/03/95	Russell	395	739	11/03/93
	A7	5,491,750	02/13/96	Bellare et al.	380	21	12/30/93
	A8	5,504,814	04/02/96	Miyahara	380	4	01/24/94
	A9	5,509,070	04/16/96	Schull	380	4	12/15/92
	A10	5,524,238	06/04/96	Miller et al.	395	600	03/23/94
	A11	5,544,246	08/06/96	Mandelbaum et al.	380	23	09/17/93
	A12	5,550,976	08/27/96	Henderson et al.	395	200.06	12/08/92
	A13	5,550,981	08/27/96	Bauer et al.	395	200.06	06/21/94
	A14	5,553,139	09/03/96	Ross et al.	380	4	04/04/94
	A15	5,557,732	09/17/96	Thompson	395	161	08/11/94
	A16	5,564,016	10/08/96	Korenshtein	395	186	09/29/95
	A17	5,592,549	01/07/97	Nagel et al.	380	4	06/15/95
	A18	5,604,490	02/18/97	Blakley, III et al.	340	825.31	09/09/94
	A19	5,638,513	06/10/97	Ananda	395	188.01	06/07/95
	A20	5,657,390	08/12/97	Elgamal et al.	380	49	08/25/95
	A21	5,666,501	9/9/97	Jones et al.	345	348	3/30/95
	A22	5,668,999	09/16/97	Gosling	395	704	12/20/94
	A23	5,689,708	11/18/97	Regnier et al.	395	682	03/31/95
	A24	5,729,734	03/17/98	Parker et al.	395	609	11/03/95
	A25	5,737,416	04/07/98	Cooper et al.	380	4	08/02/96



FOR PTO - 1449	ATTORNEY DOCKET NO.: CTX-068 (1545/117)
INFORMATION DISCLOSURE STATEMENT	APPLICANT(S): Pope et al.
	SERIAL NO.: 09/880,268
	FILING DATE: June 13, 2001

RECEIVED

AUG 28 2001

Technology Center 2100

U.S. PATENT DOCUMENTS

no	A26	5,742,757	04/21/98	Hamadani et al.	395	186	05/30/96
	A27	5,794,207	08/11/98	Walker et al.	705	23	09/04/96
	A28	5,944,791	8/31/99	Scherpbier	709	218	10/4/96
	A29	5,956,407	09/21/99	Slavin	380	30	07/08/97

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
no	B1	0 679 980 A1	11/02/95	EP	GO6F	1/00	04/18/95	N	Y
	B2	0 851 628 A1	07/01/98	EP	HO4L	9/08	12/23/96	N	Y
	B3	2 168 831 A	06/25/86	GB	HO4L	11/26	11/13/84	N	Y
	B4	97/18635	05/22/97	WO	HO4L	29/06	09/20/96	N	Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
no	C1	"IBM: "IBM Operating System/2 Version 1.2 Profile Validation Hooks", pages 1-26, November 22, 1989.
	C2	N.F. Maxemchuk, S. Low, "The Use of Communications Networks to Increase Personal Privacy" from <u>IEEE INFOCOM' 95</u> , Proceedings, Vol. 2, April 2-6 1995, Pages 504-512.
	C3	P.Y.A. Ryan, S.A. Schneider, "An attack on a recursive authentication protocol A cautionary tale" <u>Information Processing Letters</u> 65, 1998 Elsevier Science B.V., pages 7-10
	C4	Dave Otway and Owen Rees, "Efficient and Timely Mutual Authentication", The ANSA Project, 24 Hills Road, Cambridge CB21JP United Kingdom, pages 8-10
	C5	Lawrence C. Paulson, "Mechanized Proofs for a Recursive Authentication Protocol" Computer Laboratory, University of Cambridge, Pembroke Street, Cambridge CB2 3QG, England, pages 84-94
	C6	Li Gong, "Using One-Way Functions for Authentication" University of Cambridge Computer Laboratory, Cambridge CB2 3QG, England, July 1989, pages 8-11
	C7	Mark Nicholson, "How To Hold Mobile Users To Account" Financial Times, Tuesday, May 2, 2000.
	C8	Tony Savvas, "Handset Giants Launch Security System" www.computerweekly.com
	C9	Charles Seife, "Algorithmic Gladiators Vie For Digital Glory" www.sciencemag.org, Science, Vol 288, May 19, 2000, pages 1161 & 1163.
	C10	Russell Kay, "Authentication" Computerworld, March 27, 2000, pages 77-79.



FORM PTO - 1449		ATTORNEY DOCKET NO.: CTX-068 (1545/117)	
INFORMATION DISCLOSURE STATEMENT		APPLICANT(S):	Pope et al.
		SERIAL NO.:	09/880,268
		FILING DATE:	June 13, 2001
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	C11	Tom Yager, "OS Paradise" BYTE, November, 1995	
	C12	Bridget Allison, Robert Hawley, Andrea Borr, Mark Muhlestein, David Hitz, "File System Security: Secure Network Data Sharing For NT And UNIX" Network Appliance, Inc., Tech Library, January 16, 1998 pages 1-16 (double sided)	
	C13	"Network Neighborhood", http://www.internet.com , © 1999 internet.com	
	C14	Howard Millman, "Give Your Computer the Finger", Computerworld, March 27, 2000	
	C15	B. Clifford Neuman, "Proxy-Based Authorization and Accounting for Distributed Systems", © IEEE, May 25, 1993, pages 283-291	
	C16	Win95 Tutorial: Index of Topics, http://orion.valencia.cc.fl.us/tutorials/Win95demo/net.html , printed 12/27/99 (2 pages)	
EXAMINER		DATE CONSIDERED 2/7/04	

RECEIVED

AUG 28 2001

Technology Center 2100

ABRAMSAFI1545\104.2045067_1